

BE BOLD

2022 INORGANIC VENTURES WEBINAR SERIES

IS YOUR METHOD FIT FOR PURPOSE – A Dive Into Validation

**THURSDAY, OCTOBER 20
9:00–9:30AM EST**



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Outline

- Purpose of Validation
- Types of Validations
- Characteristics
 - Definitions
 - Suggestions/Guidelines
 - Examples
- Validation at Inorganic Ventures

Validation Characteristics

1. Specificity
2. Linearity
3. Range
4. Accuracy
5. Precision
6. Limits
 - a. Detection
 - b. Quantification
7. Robustness

What is Validation?

Why is Validation Necessary?

- Merriam-Webster
 - to confirm the validity of
 - valid = well-grounded or justifiable
 - to support or corroborate on a sound or authoritative basis
- Ensures consistent process (manufacturing, testing, packaging, etc.)
- Demonstrate “fitness” for purpose and intended use
- IV CRM/RM Product Validation
 - Is it what we say it is?
 - Does it do what we say it does?

Types of Validation

- Identity – to positively identify analyte(s) in sample (qualitative)
- Purity – to provide information about everything that isn't the analyte(s) in a sample (qualitative and/or quantitative)
- Assay – to determine the amount analyte(s) in a sample (quantitative)

Specificity

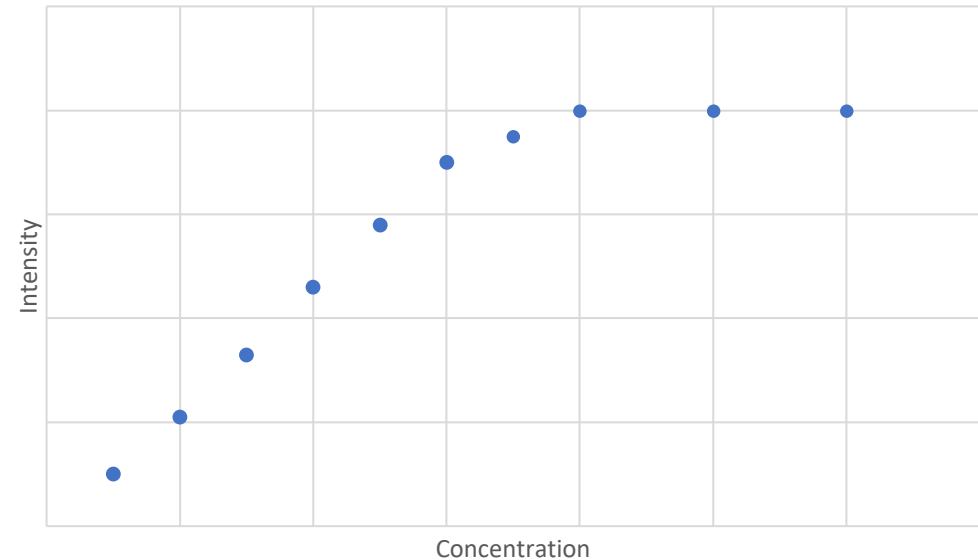
- Ability to detect analyte(s) of interest in the presence of sample components (matrix, impurities, etc.)
 - Spike sample with analyte(s) and compare with unspiked results
 - Agreement on multiple wavelengths (ratio of 1)
- Confirms line selection from method development activities
 - Line selection is crucial in method development
 - Sensitivity
 - Precision
 - Spectral issues (interferences/background)
 - More than one line is ideal (2-3 are recommended; more are fine, but more it means more data to deal with!)
- Qualitative in nature
 - Rule out effects of impurities/interferences
 - Risk assessments are helpful in identifying potential impurities/interferences

Specificity Example

| Test Solution Level | Primary Wavelength | | Secondary Wavelength | | Specificity Ratio |
|---------------------|-----------------------------------|-------------------------------|-----------------------------------|-------------------------------|--------------------------------|
| | 455.403 nm | | 585.367 nm | | |
| | Measured Test Solution Conc. µg/g | Mean Test Solution Conc. µg/g | Measured Test Solution Conc. µg/g | Mean Test Solution Conc. µg/g | Acceptance Criteria: 0.8 - 1.2 |
| 10.0 | 10.0 | 10.0 | 10.0 | 10.0 | 1.00 |
| | 10.0 | | 10.0 | | |
| | 10.0 | | 10.0 | | |
| | 10.0 | | 10.0 | | |
| | 10.0 | | 10.0 | | |
| | 10.0 | | 10.0 | | |

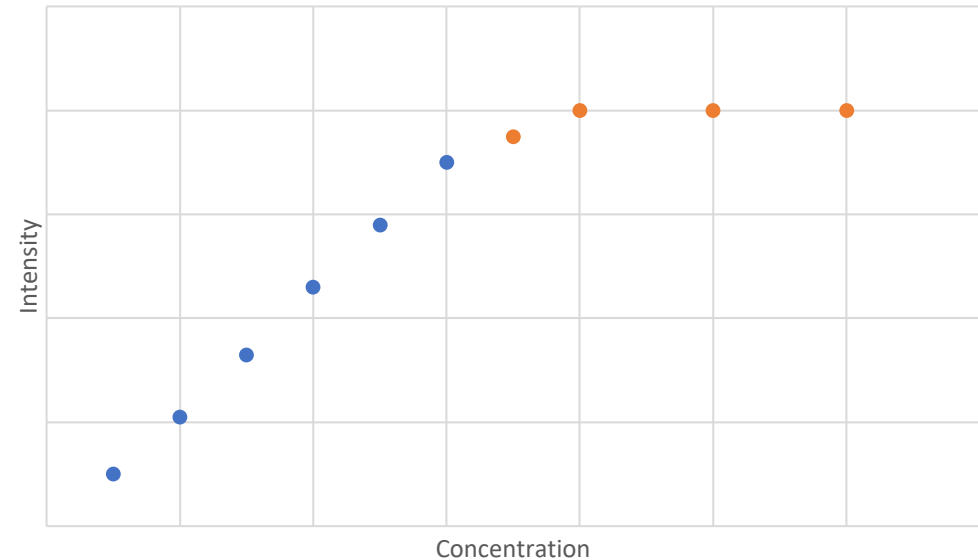
Linearity

- Range in which results are directly proportional to analyte concentration
- Multi-point analysis
- Minimize sources?



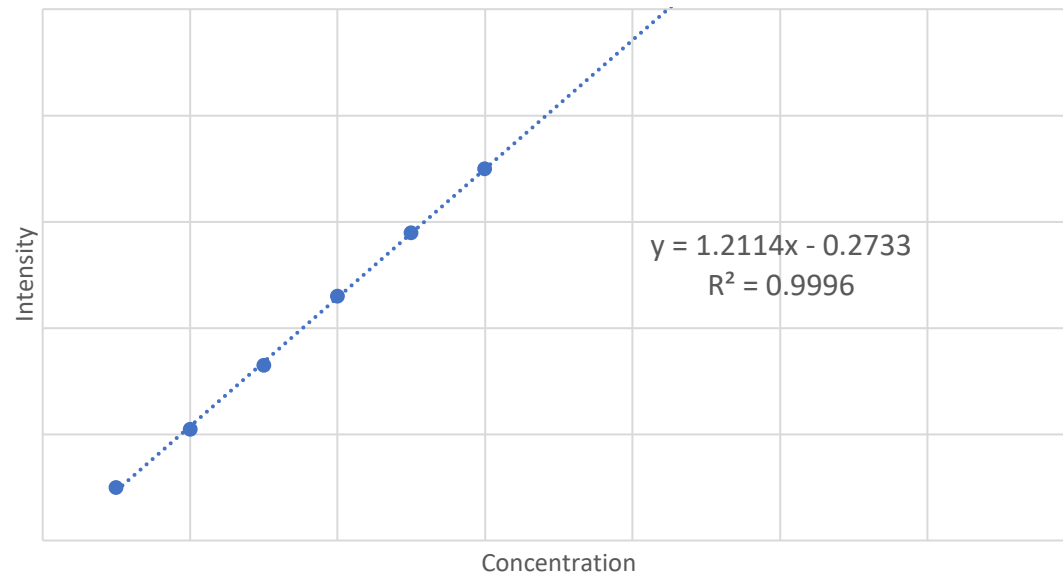
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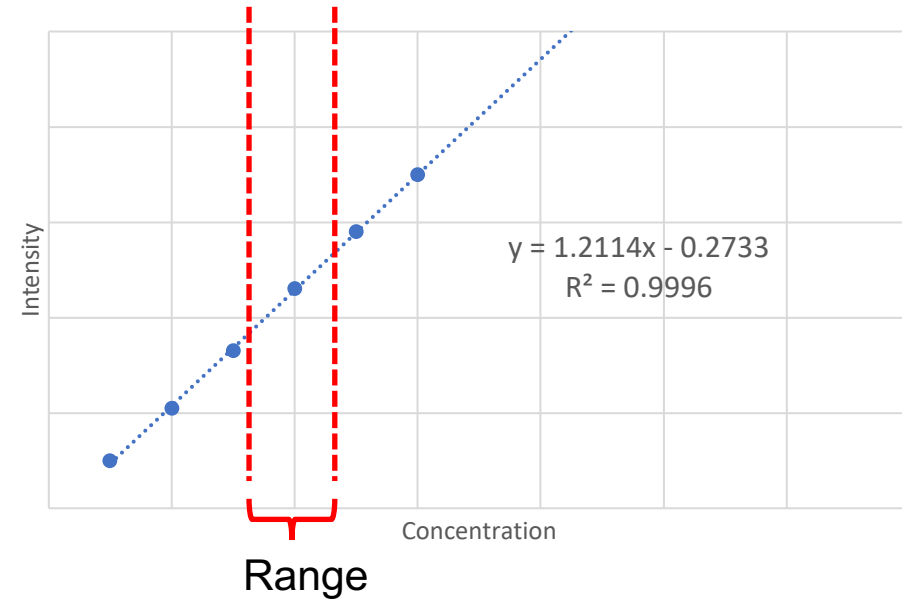


Linearity Example

| Element | Correlation Coefficient (r) | Slope | y-intercept |
|---------|------------------------------------|--------------|--------------|
| | Acceptance Criteria: ≥ 0.9950 | | |
| Ba | 0.9997 | 2133618.8186 | 1042736.3831 |

Range

- Interval between upper and lower concentrations of analyte in sample
- $\pm 20\%$ of target value



Range Example

| Element | Correlation Coefficient (r) | Slope | y-intercept | Range (ug/g) |
|---------|------------------------------------|--------------|-------------|--------------|
| | Acceptance Criteria: ≥ 0.9950 | | | |
| Ba | 0.9999 | 2160458.7930 | 736447.8205 | 8.0 – 12.0 |

Accuracy

- Agreement with accepted reference value and value found during analysis
- “Trueness”
- Best Practices:
 - Comparison to reference materials (CRMs or SRMs)
 - Use of a second validated method if no RMs exist
 - Standard Additions
 - Spike recovery

Accuracy Example

| Test Solution Level | Theoretical Test Solution Conc. µg/g | Measured Test Solution Conc. µg/g | Mean Test Solution Conc. µg/g | % Recovery | Mean % Recovery |
|-----------------------|--------------------------------------|-----------------------------------|-------------------------------|--------------------------------|--------------------------------|
| | | | | Acceptance Criteria: 95 - 105% | Acceptance Criteria: 95 - 105% |
| Test Solution Level 1 | 8.0 | 8.0 | 8.0 | 99.9 | 100.0 |
| | | 8.0 | | 99.7 | |
| | | 8.0 | | 100.5 | |
| Test Solution Level 2 | 9.0 | 9.0 | 9.0 | 99.9 | 99.9 |
| | | 9.0 | | 99.7 | |
| | | 9.0 | | 100.0 | |
| Test Solution Level 3 | 10.0 | 10.0 | 10.0 | 100.0 | 99.9 |
| | | 10.0 | | 99.7 | |
| | | 10.0 | | 99.7 | |
| | | 10.0 | | 99.8 | |
| | | 10.0 | | 100.0 | |
| | | 10.0 | | 100.0 | |
| Test Solution Level 4 | 11.0 | 11.0 | 11.0 | 100.1 | 100.3 |
| | | 11.0 | | 100.3 | |
| | | 11.1 | | 100.5 | |
| Test Solution Level 5 | 12.0 | 11.9 | 12.0 | 99.3 | 99.7 |
| | | 12.0 | | 99.9 | |
| | | 12.0 | | 99.8 | |
| QC | 10.0 | 10.0 | 10.0 | 100.0 | 99.9 |
| | | 10.0 | | 99.9 | |

Precision

- Agreement of multiple measurements
- Expressed as variance or (relative) standard deviation, and confidence interval
- 3 levels:
 1. Repeatability – 3 replicates at 3 concentrations (cover range) OR 6 replicates at 100%
 2. Intermediate precision – different days, technicians, instruments, etc.
 3. Reproducibility – multi-laboratory studies
- ICP issues that can impact precision:
 - Spectrally rich/complex regions
 - Sample introduction system (tubing, torch, etc.)
 - High salt content (salting out)
 - Instrument warmup time

Precision Example

| Test Solution Level | Theoretical Test Solution Conc. µg/g | Measured Test Solution Conc. µg/g | Mean Test Solution Conc. µg/g | Test Solution Level % RSD | % RSD of % Recovery |
|-----------------------|--------------------------------------|-----------------------------------|-------------------------------|---------------------------|--------------------------|
| | | | | Acceptance Criteria: ≤5% | Acceptance Criteria: ≤5% |
| Test Solution Level 1 | 8.0 | 8.0 | 8.0 | 0.42 | 0.42 |
| | | 8.0 | | | |
| | | 8.0 | | | |
| Test Solution Level 2 | 9.0 | 9.0 | 9.0 | 0.12 | 0.12 |
| | | 9.0 | | | |
| | | 9.0 | | | |
| Test Solution Level 3 | 10.0 | 10.0 | 10.0 | 0.17 | 0.17 |
| | | 10.0 | | | |
| | | 10.0 | | | |
| | | 10.0 | | | |
| | | 10.0 | | | |
| | | 10.0 | | | |
| Test Solution Level 4 | 11.0 | 11.0 | 11.0 | 0.22 | 0.22 |
| | | 11.0 | | | |
| | | 11.1 | | | |
| Test Solution Level 5 | 12.0 | 11.9 | 12.0 | 0.34 | 0.34 |
| | | 12.0 | | | |
| | | 12.0 | | | |
| QC | 10.0 | 10.0 | 10.0 | 0.13 | 0.13 |
| | | 10.0 | | | |

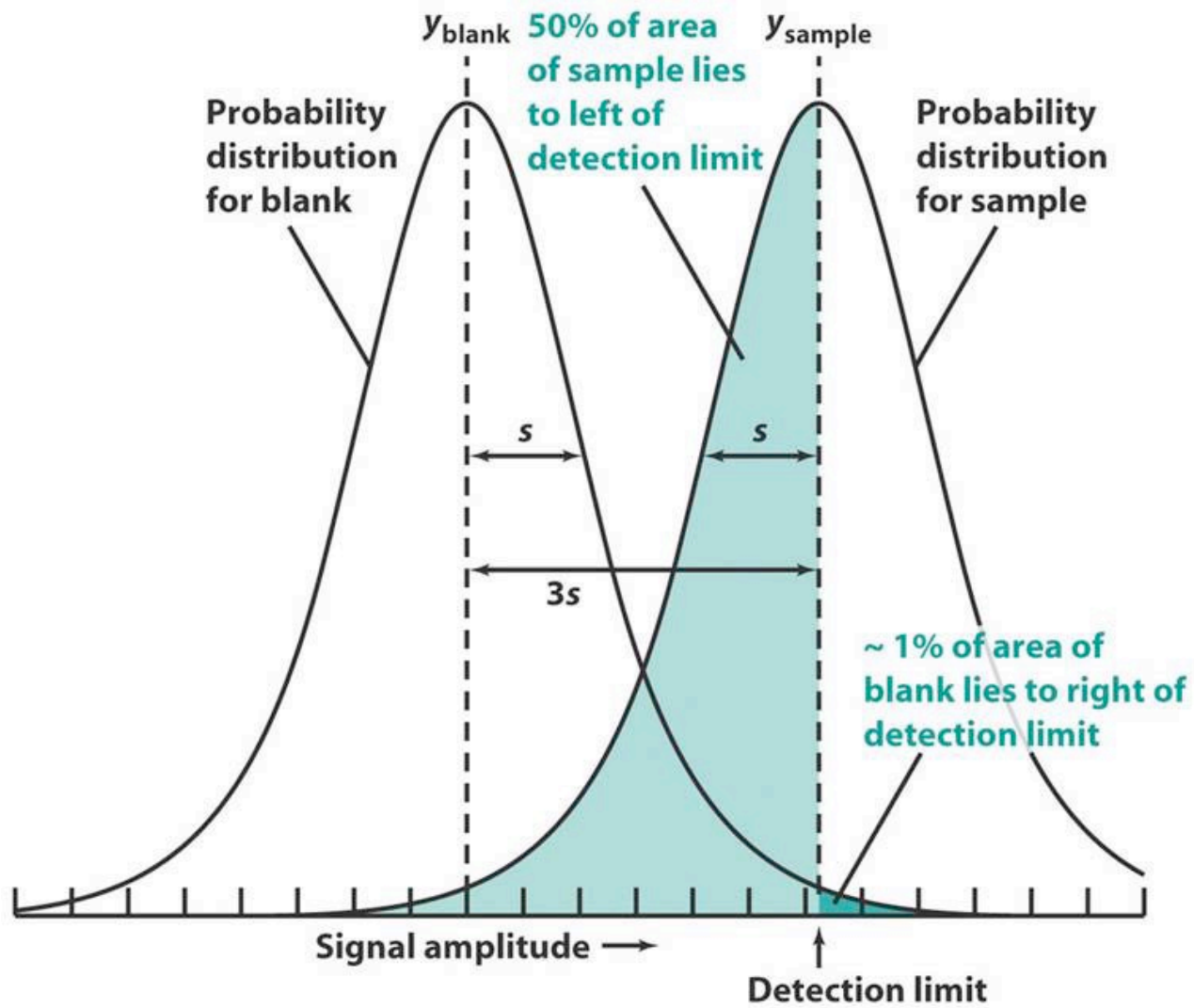
Limits

Detection

- Smallest amount of analyte that can be detected
- $3 \times [(S/N) \text{ or noise peak-to-peak}]$
- Validate by analyzing samples near this value

Quantification

- Smallest amount of analyte that can be quantified
- $10 \times [(S/N) \text{ or noise peak-to-peak}]$
- Validate by analyzing samples near this value



Taken from Harris "Exploring Chemical Analysis"

Robustness

- Ability to withstand small changes
- Ensures reliability during use
 - Unaffected by variations lab-to-lab, user-to-user, etc.
- Considerations for ICP Measurements
 - Reagents (purity, concentration, etc.)
 - Introduction system components
 - Nebulizer
 - Torch
 - Spray Chamber

Robustness Example

| Test Solution Level ($\mu\text{g/g}$) | Analysis | Measured Test Solution Conc. ($\mu\text{g/g}$) | Mean Test Solution Conc. ($\mu\text{g/g}$) | |
|--|--------------------------------|---|---|------------------------------------|
| 10.0 | t ₁ (repeatability) | 10.0 | 10.0 | % RSD n = 6 |
| | | 10.0 | | |
| | | 10.0 | | Acceptance Criteria: $\leq 5\%$ |
| | | 10.0 | | |
| | | 10.0 | | 0.17 |
| | | 10.0 | | |
| | t ₂ (repeatability) | 10.0 | 10.0 | % RSD n = 6 |
| | | 10.0 | | |
| | | 10.0 | | Acceptance Criteria: $\leq 5\%$ |
| | | 10.0 | | |
| | | 10.0 | | 0.21 |
| | | 10.0 | | |

Validation at Inorganic Ventures

- “Fit for Purpose”
- How will instrument be used?
 - Certification of Singles lots
 - Check of custom products
- What data is required?
 - Linearity – prove concentration range is linear (instrument qualification)
 - Singles – validate existing singles method of new instrument (method transfer)
 - Customs – verify that calibration/performance is same as old instrument (method transfer); confirm by running solutions on both and comparing results

Life Cycle

- Unfortunately, validation is not a “one-and-done” activity
- Monitor and track trends throughout method
 - Use of control samples and control charting
- Helps determine what type of revalidation should be done, if any

Revalidation

- When?
 - Any time there is a change in process
 - Need to have periodic reviews of SOPs, equipment, sample types, etc.
 - Internal audits can help find process deviations
- More robust methods tend to mitigate revalidation

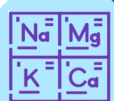
Summary

- Method validation ensures reliability of results
- Can be done concurrently with method development
- Procedural changes warrant revalidation
 - Method development activities need to be comprehensive and thorough

Resources

- ICH
 - Validation of Analytical Procedures: Text and Methodology Q2(R1)
- EPA
 - Validation and Peer Review of U.S. Environmental Protection Agency Chemical Methods of Analysis
- A Practical Guide to Method Validation
 - DOI: 10.1021/ac961912f
- Analytical Method Development and Validation
 - ISBN 9780824701154

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